FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, I	D.C.	20549
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Check this box if no longer subject	STATEME
to Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	Fil

## ENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Smith Scott Andrew				2. Issuer Name <b>and</b> Ticker or Trading Symbol BioAtla, Inc. [ BCAB ]								ck all app	•		on(s) to Is				
(Last)	(Fi	rst) (f	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 09/30/2023								Office below	er (give title v)		Other (s below)	specify		
C/O BIOATLA, INC. 11085 TORREYANA ROAD					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Ind Line)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)												X	Form filed by One Reporting Person				on		
SAN DII	EGO CA	A 9	2121											Form filed by More than One Reporting Person					
(City)	(St	ate) (2	Zip)		Rul	Rule 10b5-1(c) Transaction Indication													
					Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.										nded to				
		Table	I - Nor	n-Deriva	tive S	Secui	rities	s Acq	uired,	Dis	osed of	, or E	Bene	eficiall	y Own	ed			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)				ay/Year)   Executi		Deemed cution Date, ly nth/Day/Year)		3. Transaction Code (Instr. 8)  4. Securiti Disposed 5)		ies Acquired (A) Of (D) (Instr. 3, 4		(A) or 3, 4 and	5. Amo Securit Benefic Owned Report	ies cially Following	6. Own Form: I (D) or II (I) (Inst	Direct ndirect r. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	(A) (D)	or	Price	Transa	ction(s) 3 and 4)			(111511. 4)	
Common Stock 09/30/				09/30/	2023			F <sup>(1)</sup>		1,791 Г		)	\$1.7	304,371		D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	erivative   Conversion   Date ecurity   or Exercise   (Month/Day/Year)   if any   Conversion   Date			Transaction of Code (Instr. Derivative		vative urities uired or osed ) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4)		De Se (Ir	Price of erivative ecurity istr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y Dii or (I)	wnership orm: rect (D) Indirect (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code V		(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amo or Num of Shar	nber					

## **Explanation of Responses:**

1. This transaction is not a sale of shares by the Reporting Person. Instead, this represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the vesting and net settlement of previously reported restricted stock units.

## Remarks:

/s/ Christian Vasquez, as

10/02/2023 Attorney-in-Fact for Scott A.

Smith

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.