Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or Section 30(ii) of the investment Company Act of 1940					
1. Name and Address of Reporting Person* SHORT JAY M PHD		erson <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol BioAtla, Inc. [BCAB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
SHOKI JAN	<u>MPHD</u>			X	Director	Х	10% Owner	
(Last) C/O BIOATLA	(First) , INC. 11085 T	(Middle) ORREYANA ROAD	3. Date of Earliest Transaction (Month/Day/Year) 12/31/2022	X	Officer (give title below) Chief Execu	tive (	Other (specify below) Officer	
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group	Filing	(Check Applicable	
SAN DIEGO	CA	92121		X	Form filed by One	Repo	rting Person	
(City)	(State)	(Zip)			Form filed by More Person	e than	One Reporting	

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Code V Amount (A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(1150.4)				
Common Stock	12/31/2022		F <sup>(1)</sup>	4,667	D	\$8.25	1,377,992	D	
Common Stock							793,547	Ι	By Spouse
Common Stock							258,727	Ι	By Carolyn Short 2020 Irrevocable Gift Trust
Common Stock							258,727	I	By Jay Short 2020 Irrevocable Gift Trust
Common Stock							302,324	Ι	By Capia IP, LLC
Common Stock							50	Ι	By Himalaya Parent LLC <sup>(2)</sup>

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Expiration Date (Month/Day/Year) Derivative Conversion Date Execution Date, Transaction Amount of Derivative derivative Ownership of Indirect or Exercise Price of Derivative (Month/Dav/Year) Derivative Security (Instr. 3) Security (Instr. 5) Form: Direct (D) if any (Month/Day/Year) Code (Instr. 8) Securities Securities Beneficial Securities Underlying Beneficially Ownership or Indirect (I) (Instr. 4) Acquired Derivative Owned (Instr. 4) Security (A) or Security (Instr. 3 and 4) Following Disposed of (D) (Instr. 3, 4 Reported Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date v (A) Title Shares (D) Exercisable Date Code

Explanation of Responses:

1. This transaction is not a sale of shares by the Reporting Person. Instead, this represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the vesting and net settlement of previously reported restricted stock units.

2. The Reporting Person and his spouse are the managers of Himalaya Parent LLC and collectively make investment decisions on the behalf of the entity. The Reporting Person and his spouse disclaim beneficial ownership of the reported securities except to the extent of his or her pecuniary interest therein.

**Remarks:** 

/s/ Christian Vasquez, as Attorney-in-Fact for Jay M.

Short

01/03/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.