SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287							
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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>MCBRINN SYLVIA</u>				2. Issuer Name and Ticker or Trading Symbol BioAtla, Inc. [BCAB]										licable)	ng Person(s) to Issuer 10% Owner				
(Last)	(Fi	rst) (I	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 03/28/2023									Office below	er (give title /)		Other (below)	specify	
C/O BIOATLA, INC. 11085 TORREYANA ROAD				4. If Amendment, Date of Original Filed (Month/Day/Year)								 Individual or Joint/Group Filing (Check Applicabl Line) 					pplicable		
(Street)														Х	Form	filed by On	e Rep	orting Pers	on
SAN DI	EGO CA	A 9	2121												Form Perso	filed by Mo on	re tha	n One Rep	orting
(City)	(State) (Zip) Rule 10b5-1(c) Transaction Indication																		
Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.										n that is inte	nded to								
		Table	I - Non-	Deriva	tive S	Secur	ities	s Acq	uired,	Dis	posed of	, or Be	enefic	ially	Own	ed	-		
1. Title of Security (Instr. 3) 2. Transac Date (Month/Date)			Date	ay/Year) Exec		Deemed ecution Date, ny onth/Day/Year)		Transaction I Code (Instr.		4. Securities Acquired (<i>J</i> Disposed Of (D) (Instr. 3 5)				5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A) o (D)	r Pric	Tra		eported ansaction(s) Istr. 3 and 4)			(Instr. 4)	
Common	Stock			03/28/2	2023				Р		4,000	A	\$2	.35	19	,125 D			
		Tal									osed of, onvertib				Ownee	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	Date,	4. Transa Code (8)		of Deriv Secu Acqu (A) o Disp of (D	vative prities pred r osed) r. 3, 4	6. Date E Expiration (Month/I	on Da		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)				9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	y	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Date Exercisable

Expiration Date

Explanation of Responses:

Remarks:

/s/ Christian Vasquez, as Attorney-in-Fact for Sylvia

Number

of Shares

Title

McBrinn

01/19/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(D)

(A)