FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington,   | DС   | 20549 |
|---------------|------|-------|
| rvasiliigion, | D.C. | 20040 |

| Check this box if no longer subject |
|-------------------------------------|
| to Section 16. Form 4 or Form 5     |
| obligations may continue. See       |
| Instruction 1(b).                   |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|   | OMB APPROVAL             |     |  |  |  |  |  |  |  |
|---|--------------------------|-----|--|--|--|--|--|--|--|
|   | OMB Number: 3235-0287    |     |  |  |  |  |  |  |  |
| l | Estimated average burden |     |  |  |  |  |  |  |  |
| ı | hours per response:      | 0.5 |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  WALDRON RICHARD A    |  |  |        |                              | 2. Issuer Name and Ticker or Trading Symbol BioAtla, Inc. [ BCAB ] |  |   |  |                  |  |      |                           | (Chec  | k all app<br>Direc  | cionship of Reporting all applicable) Director Officer (give title |  | 10% O   |        |         |
|--|--|--|--------|------------------------------|--|--|---|--|------------------|--|------|---------------------------|--|---|--|--|---|--------|---------|
| (Last) (First) (Middle) C/O BIOATLA, INC. 11085 TORREYANA ROAD |  |  |        |                              |  | 3. Date of Earliest Transaction (Month/Day/Year) 08/31/2022                      |   |  |                  |  |      |                           |  | X   | below  | chief Finar  |   | below) | Specify |
| (Street) SAN DIEGO CA 92121                                    |  |  |        |                              | 4. If Amendment, Date of Original Filed (Month/Day/Year)           |  |   |  |                  |  |      | 6. Indi<br>Line)<br>X     | X Form filed by One Reporting Person Form filed by More than One Reporting |   |  |  |   |        |         |
| (City)   | (St  | ate) (Ž                                    | Zip)   |                              |  |  |   |  |                  |  |      |                           |  |   | Perso  | on<br>   |   |        |         |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |  |        |                              |  |  |   |  |                  |  |      |                           |  |   |  |  |   |        |         |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da     |  |  |        | Execution Date,              |  | Date,  | 3.<br>Transaction<br>Code (Instr.<br>8) |  |                  |  |      | 4 and Securit             |  | ties Fe<br>cially (D<br>I Following (I)   |  | n: Direct<br>or Indirect<br>nstr. 4)                                     | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |        |         |
|  |  |  |        |                              |  | Code   | v                                       | Amount   | (A) or<br>(D)    |  | rice | Transa                    | ction(s)<br>3 and 4)   |   |  | (mau. 4)   |   |        |         |
| Common Stock 08/31/  |  |  |        | 08/31/                       | 2022   |  | F <sup>(1)</sup>                        |  | 970              | I  | ) (  | \$8.71                    | 12   | 25,654  |  | D  |   |        |         |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |        |                              |  |  |   |  |                  |  |      |                           |  |   |  |  |   |        |         |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)            | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | if any | med<br>on Date,<br>Day/Year) |  | saction of Unstr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Ins<br>3 and 4) |      | Dei<br>See<br>(Ins        | Price of<br>rivative<br>curity<br>str. 5)                                  | 9. Number<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | y  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>t (Instr. 4)                           |        |         |
|  |  |  |        |                              | Code V   |  | (A)                                     | (D)  | Date<br>Exercisa | te Expiration c  |      | or<br>Numb<br>of<br>Share | per  |   |  |  |   |        |         |

## **Explanation of Responses:**

## Remarks:

/s/ Christian Vasquez, as

09/01/2022 Attorney-in-Fact for Richard

A. Waldron

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>1.</sup> This transaction is not a sale of shares by the Reporting Person. Instead, this represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the vesting and net settlement of previously reported restricted stock units.