SEC Form 4	
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bur	den
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr		g Person <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol BioAtla, Inc. [BCAB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
SHORT JAY	<u>MPHD</u>			X	Director	Х	10% Owner				
(Last)	(First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)		Other (specify below)				
C/O BIOATLA	A, INC. 11085	TORREYANA ROAD	06/21/2022		Chief Executive Officer						
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	vidual or Joint/Group	Filing	(Check Applicable				
SAN DIEGO	CA	92121		X	Form filed by One	Repo	rting Person				
(City)	(State)	(Zip)			Form filed by Mor Person	e than	One Reporting				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or   Transaction Disposed Of (D) (Instr. 3, 4 and 5)   Code (Instr. 8) 6			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	06/21/2022		<b>P</b> <sup>(1)</sup>		29,082	A	<b>\$2.7866</b> <sup>(2)</sup>	1,335,674	D	
Common Stock	06/22/2022		<b>P</b> <sup>(1)</sup>		40,308	A	<b>\$2.91</b> <sup>(3)</sup>	1,375,982	D	
Common Stock								793,547	Ι	By Spouse
Common Stock								258,727	I	By Carolyn Short 2020 Irrevocable Gift Trust
Common Stock								258,727	Ι	By Jay Short 2020 Irrevocable Gift Trust
Common Stock								302,324	I	By Capia IP, LLC
Common Stock								50	Ι	By Himalaya Parent LLC <sup>(4)</sup>

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned     (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

2. The range of prices for the shares of Common Stock is from \$2.68 to \$2.87. The Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities purchased at each separate price.

3. The range of prices for the shares of Common Stock is from \$2.70 to \$3.02. The Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities purchased at each separate price.

4. The Reporting Person and his spouse are the managers of Himalaya Parent LLC and collectively make investment decisions on the behalf of the entity. The Reporting Person and his spouse disclaim beneficial ownership of the reported securities except to the extent of his or her pecuniary interest therein.

**Remarks:** 

<u>/s/ Christian Vasquez, as</u> <u>Attorney-in-Fact for Jay M.</u> <u>Short</u>

06/23/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.